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DEPT OF INSURANCE  
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STATE OF ARIZONA

DEPARTMENT OF INSURANCE

In the Matter of:

Docket No. 18A-102-INS

**ZEPEDA, HARRISON ALEXANDER**  
(National Producer Number 18611206)

**CONSENT ORDER**

**Respondent.**

The State of Arizona Department of Insurance ("Department") has received evidence that **Harrison Alexander Zepeda (Respondent)** has violated provisions of Title 20, Arizona Revised Statutes ("A.R.S."). Respondent wishes to resolve this matter without the commencement of formal proceedings, and admits the following Findings of Fact are true and consents to entry of the following Conclusions of Law and Order.

**FINDINGS OF FACT**

1. Respondent is, and was at all material times, licensed as a resident insurance producer with a line of authority in life insurance, National Producer Number 18611206, which expires September 30, 2021.

2. Respondent's addresses of record with the Department are: 4667 S. Lakeshore Dr., #6, Tempe, AZ 85202 (business) and 13314 E. Jupiter Way, Chandler, AZ 85225 (mailing).

3. On or about November 21, 2017, the Department issued to Respondent a license as an insurance producer, National Producer Number 18611206.

4. On March 16, 2018, the Department notified Respondent by mail at his mailing address of record that his fingerprint card had been processed and returned by the Arizona

1 Department of Public Safety (DPS) as illegible. The Department requested a replacement set  
2 of fingerprints along with a completed "Illegible Fingerprint Replacement Form" on or before  
3 April 6, 2018. Respondent did not reply to the Department's request.

4 5. On April 17, 2018, the Department notified Respondent a second time by mail at  
5 his business address of record that his fingerprints had been returned by DPS as illegible and  
6 that the Department was about to initiate an administrative action against his license for failure  
7 to comply with the fingerprint requirement. The Department requested a response by May 4,  
8 2018.

9 6. To date, Respondent has not submitted a full set of fingerprints to the  
10 Department.

#### 11 **CONCLUSIONS OF LAW**

12 1. The Interim Director has jurisdiction over this matter.

13 2. Respondent's conduct as described above constitutes the violation of the  
14 requirement that an applicant submit a full set of fingerprints to the Department within the  
15 meaning of A.R.S. § 20-285(E)(2).

16 3. Respondent's conduct as described above constitutes providing incomplete  
17 information in the license application within the meaning of A.R.S. § 20-295(A)(1).

18 4. Respondent's conduct as described above constitutes the violation of any  
19 provision of A.R.S. Title 20 or any rule, subpoena or order of the director within the meaning of  
20 A.R.S. § 20-295(A)(2).

21 5. Grounds exist for the Interim Director to suspend, revoke, or refuse to renew  
22 Respondent's insurance license, impose a civil penalty and/or order restitution pursuant to  
23 A.R.S. §§ 20-295(A) and (F).

24



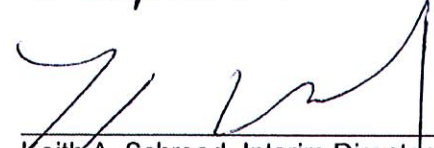
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**ORDER**

IT IS HEREBY ORDERED THAT:

1. Respondent's resident insurance producer license, National Producer Number 18611206, is revoked effective immediately.

DATED AND EFFECTIVE this 18<sup>th</sup> day of Sept., 2018.

  
\_\_\_\_\_  
Keith A. Schraad, Interim Director  
Arizona Department of Insurance

**CONSENT TO ORDER**

1. Respondent has reviewed the foregoing Findings of Fact, Conclusions of Law and Order.

2. Respondent admits to the jurisdiction of the Interim Director of Insurance, State of Arizona, and admits the foregoing Findings of Fact and consents to the entry of the foregoing Conclusions of Law and Order.

3. Respondent is aware of his right to notice and a hearing at which he may be represented by counsel, present evidence and examine witnesses. Respondent irrevocably waives his right to such notice and hearing and to any court appeals relating to this Consent Order.

4. Respondent states that no promise of any kind or nature whatsoever, except as expressly contained in this Consent Order, was made to him to induce him to enter into this Consent Order and that he has entered into this Consent Order voluntarily.

5. Respondent acknowledges that the acceptance of this Consent Order by the Interim Director is solely to settle this matter against him and does not preclude any other

1 agency, officer, or subdivision of this state including the Department from instituting civil or  
2 criminal proceedings as may be appropriate now or in the future not related to this matter.

3 6. Respondent acknowledges that this Consent Order is an administrative action  
4 that the Department will report to the National Association of Insurance Commissioners  
5 (NAIC). Respondent further acknowledges that he must report this administrative action to  
6 any and all states in which he holds an insurance license and must disclose this administrative  
7 action on any license application.

8 09/15/2018  
9 Date

Harrison Alexander Zepeda

Harrison Alexander Zepeda, National Producer # 18611206

10 COPIES of the foregoing mailed/delivered  
11 this 21<sup>st</sup> day of September, 2018, to:

12 Harrison Alexander Zepeda  
13 13314 E. Jupiter Way  
14 Chandler, AZ 85225  
15 Respondent

16 Mary Kosinski, Regulatory Legal Affairs Officer  
17 Steven Fromholtz, Assistant Director for Consumer Protection  
18 Aqueelah Currie, Licensing Supervisor  
19 Arizona Department of Insurance  
20 2910 North 44th Street, Suite 210  
21 Phoenix, Arizona 85018

22 Christopher E. Choe  
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