

RECEIVED
APR 26 2021
DEPT OF INSURANCE &
FINANCIAL INSTITUTIONS

STATE OF ARIZONA
DEPARTMENT OF INSURANCE AND FINANCIAL INSTITUTIONS

In the Matter of:

No. 21A- 037 -INS

CONSENT ORDER

SLOANE, JOSHUA
(National Producer No. 1654048)

and

**JOSHUA SLOANE INSURANCE
AGENCY LLC**
(National Producer No. 1800012951)

Respondents.

The Arizona Department of Insurance and Financial Institutions (“Department”) has received evidence that **Joshua Sloane and the Joshua Sloane Insurance Agency LLC (“Respondents”)** violated provisions of Title 20, Arizona Revised Statutes (“A.R.S”). Respondent wishes to resolve this matter without the commencement of formal proceedings, and admits the following Findings of Fact are true, and consent to the entry of the following Conclusions of Law and Order.

FINDINGS OF FACT

1. Joshua Sloane (“Sloane”) is, and was at all material times, licensed as an Arizona resident insurance producer. His license, number 1654048, was last renewed on November 1, 2019, and is scheduled to expire on October 31, 2023.

2. Sloane’s business address of record with the Department is 1500 East Thomas Road, Suite 102, Phoenix, AZ 85014-5748. Sloane’s business email address with the Department is josh@rayjins.com.

1 North Hayden Road, Suite 340, Scottsdale, AZ 85268 with the Department.

2 4. On March 24, 2021, the Department contacted Sloane via his personal email
3 address. Per Sloane, he became employed in or about October 2020 with another insurance
4 agency, herein called the R.J. Insurance Agency. Sloan again failed to inform the
5 Department of his contact information change at the time of this new employment.

6 5. Since November 13, 2014, when the Department initially licensed the Agency,
7 the address on Hayden Road has remained the same despite the fact that Sloane resigned
8 from Farmers effective November 30, 2019 and went to work for another agency at a
9 different business address.

10 **Failure to Report**

11 1. Respondents failed to notify the Director of any change of addresses within the
12 statutorily-mandated thirty (30) days. Subsequent to notice from the Department, Sloane
13 updated his contact information effective March 24, 2021; the Agency address remains
14 unchanged at the time of this Order.

15 **CONCLUSIONS OF LAW**

16 1. The Director has jurisdiction over this matter.

17 2. Respondents' conduct, as described above, constitutes a violation of Title 20 or
18 any rule, subpoena or order of the Director, within the meaning of A.R.S. § 20-295(A)(2).

19 3. Respondents' conduct as described above, constitutes failing to inform the
20 Director within thirty days of any change in the licensee's residential, business or email
21 address within the meaning of A.R.S. §20-286(C)(1).

22


1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22

ORDER

IT IS HEREBY ORDERED THAT:

1. Respondents shall immediately pay a civil penalty of two hundred fifty dollars (\$250.00).
2. Respondents are jointly and severally responsible for payment of the civil penalty.

Effective this 4th day of May, 2021.



Evan G. Daniels, Director
Arizona Department of Insurance and
Financial Institutions

CONSENT TO ORDER

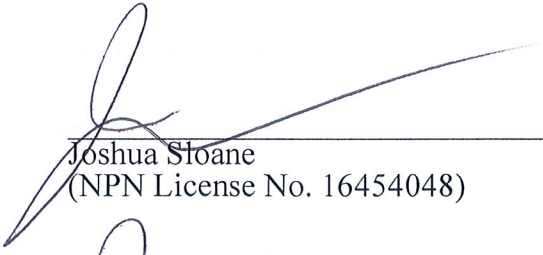
1. Respondents have reviewed the foregoing Findings of Fact, Conclusions of Law and Order.
2. Respondents admit to the jurisdiction of the Director of the Arizona Department of Insurance and Financial Institutions and admit to the foregoing Findings of Fact and consent to the entry of the foregoing Conclusions of Law and Order.
3. Respondents are aware of their right to notice and to a hearing, at which they may be represented by counsel, present evidence and examine witnesses.
4. Respondents irrevocably waive their rights to such notice and hearing and to any court appeals relating to this Consent Order.

1 5. Respondents state that no promise of any kind or nature whatsoever, except as
2 expressly contained in this Consent Order, was made to induce them to enter into this
3 Consent Order and that they have entered into this Consent Order voluntarily.

4 6. Respondents acknowledge that the acceptance of this Consent Order by the
5 Director is solely to settle the matter against them and does not preclude any other agency,
6 officer, or subdivision of this state including the Department, from instituting civil or
7 criminal proceedings as may be appropriate now or in the future not related to this matter.

8 7. Respondents acknowledge that this Consent Order is an administrative action
9 that the Department will report to the National Association of Insurance Commissioners
10 (NAIC). Respondents further acknowledge that they must report this administrative action to
11 any and all states in which they hold an insurance license and must disclose this
12 administrative action on any license application.

13
14 4/20/21
Date



Joshua Sloane
(NPN License No. 16454048)

15
16 4/20/21
Date



Joshua Sloane Insurance Agency LLC
(NPN License No. 1800012951)

17
18
19 **COPY** of the foregoing delivered by E-mail
20 this 5th day of May, 2021, to:

21 Joshua Sloane
22 1500 E Thomas Road Suite 102
Phoenix, AZ 85014-5748
Respondent

1 Joshua Sloane
4345 East Abraham Lane
2 Phoenix, AZ 85050-6894
Respondent

3
4 Joshua Sloane Insurance Agency LLC
7975 North Hayden Road, Suite C340
Scottsdale, AZ 85258
5 Respondent

6
7 **COPY** of the foregoing delivered/mailed same date, to:

8 Deian Ousounov, Regulatory Legal Affairs Officer
9 Ana Starcevic, Paralegal Project Specialist
Catherine M. O'Neil, Consumer Legal Affairs Office
10 Steven Fromholtz, Division Manager, Licensing Division
Aqueelah Currie, Licensing Supervisor
11 Dawn Lucero, Investigator
Linda Lutz, Legal Assistant, Licensing Division
12 Arizona Department of Insurance and Financial Institutions
100 North 15th Avenue, Suite 261
Phoenix, Arizona 85007-2630

13 COPY delivered electronically, same date to:

14 Joshua Sloane / Sloane Insurance Agency LLC
josh@rayjins.com
15 Respondents

16

17 Francine Juarez
Francine Juarez

18

19

20

21

22