



Arizona Automobile Theft Authority

Board of Directors Meeting Minutes

Friday, June 19, 2020

AATA Office – Web Conference

1st Floor Conference Room

1110 W. Washington Suite 105, Phoenix AZ 85007

Board Members Attendance:

Matt Reed, Chairman, State Farm Insurance Companies

Chief Michael Soelberg, Vice-Chair, Gilbert Police Department

Director Heston Silbert – Arizona Department of Public Safety, Major Jack Johnson Jr. representing

Director Eric Jorgensen- Arizona Department of Transportation, Susan Trask representing

Sheriff Paul Penzone- Maricopa County Sheriff's Office – Deputy Chief Rick Morris representing – **not present**

Sheriff Joseph Dedman, Jr.- Apache County Sheriff's Office- **not present**

Chief Frank Alanis- Superior Police Department

Allister Adel- Maricopa County Attorney's Office – Leslie LeMense representing

Kent Volkmer- Pinal County Attorney's Office- **not present**

Joe Brosius- Public Member

Robert E.M. Smith- Public Member

Brian Wright – The Travelers Companies

AATA Legal Council:

Scott Donald, AZ Attorney General's Office

AATA Staff:

James McGuffin, Executive Director

Ann Armstrong, AATA Grants Administrator

Art Myer, AATA Chief Financial Officer

Frank Ceballos, AATA Administrative Service Officer

Amanda O'Halloran, AATA Outreach Coordinator

Public/Guest:

Captain Paul Etnire- Arizona Vehicle Theft Task Force, Department of Public Safety

Daven Byrd, Chief Information Officer, Department of Public Safety

Misty Fauth, Maricopa County Attorney's Office

Frank Woods, Maricopa County Attorney's Office

Jessica Sornsins, Maricopa County Attorney's Office- Per verbal acknowledgment by Leslie LeMense.

Scott Greenberg, Deputy Director – Arizona Department of Insurance and Financial Institutions

Matthew Ashton, Pima County Attorney's Office

Tori Bryant, La Paz County Attorney's Office

Yvette Mott, La Paz County Attorney's Office

I. CALL TO ORDER AND ROLL CALL

- Chairman Reed called the meeting to order at 10:00 am.
- Roll call was conducted.

II. AATA Board Chairman's Report- Review, consideration and possible action

- Review, consideration and possible action on March 13th, 2020 Board meeting minutes
 - Chief Soelberg motioned to approve the Board minutes as presented.
 - Mr. Smith seconded the motion.
 - Vote passed unanimously (9-0).

III. AATA Director's Report- Review, consideration, and possible action

- Agency Operations
 - Executive Director McGuffin advised the Board that as of March the staff has been able to easily transition to telework due to the infrastructure currently in place and continue near seamless operation during this time.
 - Executive Director McGuffin advised the Board of personnel changes that have occurred:
 - a) Amanda O'Halloran was reclassified to Outreach Coordinator as the duties of this position are more aligned with those she is currently responsible for.
 - b) Frank Ceballos has accepted a new position within the Department of Insurance and Financial Institutions (DIFI). 75% of Frank's time will be allocated to DIFI with 25% allocated to the AATA. An interagency agreement was signed at the beginning of June so that he may begin in his new role prior to the consolidation effective July 1st, 2020.
 - Executive Director McGuffin gave an update on the consolidation efforts. He advised that AATA has been engaged in assisting with developing the strategic plan for the agency. Executive Director McGuffin advised the AATA move has been put on hold due to current events and notified the Board AATA plans to pay the rent on the current location for the year until the move can be rescheduled and a build-out of the new location completed.
 - Executive Director McGuffin presented documentation for the Contract Compliance Review program. He advised the Board that the Maricopa County Attorney's office has volunteered to be the first grantee to complete the review under a pilot program.
 - a) Chairman Reed advised he has reviewed the documentation provided for the Contract Compliance Review program and advised he is in support of this program.
 - Executive Director McGuffin advised that the New Board Member Orientation has been delayed due to COVID. The desire is to hold this orientation meeting in person to provide the opportunity for face-to-face networking, audience engagement, and a live, interactive question, and answer session. Executive Director McGuffin advised the status of the orientation will be reviewed in the upcoming month.
 - a) Chairman Reed echoed Executive Director McGuffin's sentiment regarding the New Board Member Orientation meeting.
 - Executive Director McGuffin advised that some significant progress has been made with the Department of Public Safety and the stolen vehicle database with more details to be explained during the presentation of grant and interagency service agreement later in the meeting.

IV. AATA Financial Update, Discussion, and Possible Action

- AATA Financial Report for the fiscal year ending May 31, 2020.
 - Mr. Myer presented the financial report. Please see attached documents.
 - Mr. Myer brought to the Board's attention Schedule 10 -non-appropriated funds- the SIMS metal management fund. Mr. Myer advised the Board, in March this fund was presented with a zero balance with payments going towards grants approved for an upcoming training conference. Mr. Myer informed the board this fund has since received credits totaling \$1,432.00, as the conference was canceled.
- FY'20 Spending Plan Update
 - Mr. Myer presented the FY'20 spending plan. Please see attached documents.
 - Chairman Reed called for a motion to ratify the spending plan as presented.
 - a) Chief Soelberg motioned to ratify the spending plan as presented.

b) Mr. Robert E. Smith seconded the motion.

c) Vote Passed (9-0)

- FY'21 (Projected) Spending Plan Update

- Mr. Myer presented the FY'21 spending plan. Please see the attached document.

- a) Mr. Myer noted that the revenue projections for the FY'21 had been adjusted to reflect no increase due to uncertainty that COVID-19 may have on the economy. This estimate was based on the impact of the 2008 through 2010 recession and the effect it had on the AATA collections.

- Annual CPA Audit Update

- Mr. Myer advised the Board an engagement letter had been sent out to Heinfeld Meech; the cost is \$8,000.

- Chairman Reed asked if it has ever been explored looking at another auditing company.

- Mr. Myer advised that he was on a committee with other state agencies that reviewed auditing companies and Heinfeld Meech came out on top providing the most service for the cost. He also assured Chairman Reed that while the AATA has continued to use the same company each year they are given a different auditor to conduct the AATA audit.

V. AATA Public Awareness & Grant Programs Report General Update, Discussion, and Possible Action

- FY'20/21 Grant Programs

- Ms. Armstrong advised that work on the FY21 Grants has started. Contracts for the Vehicle Theft Task Force and Vertical Prosecution will go out the following week.

- Ms. Armstrong advised that she has been working with grantees on closing out the FY20 grants and determining if they can carry over any funding. At this time, no carryover from any of the grantees is expected.

- Auto Theft Prevention Campaigns, Events & Trainings.

- Ms. Armstrong advised a grant awarded last November to Gilbert PD for a *Lock it Or Lose It* commercial was submitted and won a Bronze Telly Award. This commercial has been entered into the Emmy Awards in which the winner will be announced later this year.

- Ms. Armstrong gave the floor to Mr. Ceballos who briefed the new AZ MVD Now system and the Watch Your Car Program.

- Mr. Ceballos advised the Board that enrollment and un-enrollment for the Watch Your Car program was incorporated into the new rollout of the AZ MVD Now. This partnership has replaced the AATA's 20-year database that was beginning to fail. Since its inception on April 20th, the AATA has seen over 1,000 vehicle enrollments into the Watch Your Car Program. In previous years, the average for enrollment was 350 enrollees per year. Mr. Ceballos advised that the AATA is extremely grateful to MVD and all the work that was done to make this happen.

VI. Law Enforcement Subcommittee Report, Discussion, and Possible Action

- Mr. Myer presented a financial memo that outlined the available funds for grants and operating expenses of the AATA agency. Please see attached.

- Mr. Myer presented the grant request for FY'21 Vehicle Theft Task Force Grant #1884

- Mr. Myer advised the grant request was for 5.1 million dollars. The staff recommendation is to fund 3.65 million as appropriated via line item through the Executive Budget. The Law Enforcement Subcommittee's recommendation is to fund 3.65 million as appropriated by the line item.

- Chief Soelberg motioned to approve Grant #1884 at 3.65 million.

- Mr. Robert E. Smith seconded the motion.

- Major Jack Johnson recused himself from the vote.

- Vote Passed (8-0-1)

- FY' 21 AZ Vertical Prosecution Grant Request

- Mr. Myer presented the Vertical Prosecution grants in one lump sum of \$1,067,000. The staff recommendation is to fund \$930,942.00 of the grant requests, mirroring that of the monies approved in the previous fiscal year. This would leave \$26,758.00 to fund remaining Law Enforcement, Public Awareness, and Training grants for FY' 2021.
- Pinal County Attorney's Office #1881 - \$169,060.
 - a) Grant request for FY 2021 was \$182,907. The staff recommendation is \$169,060. The subcommittee's recommendation is to fund at \$169,060.
- Maricopa Co. Attorney's Office #1887 - \$235,751.
 - a) Grant request for FY 2021 was \$258,633. The staff recommendation is \$235,751. The subcommittee's recommendation is \$235,751.
- Pima Co. Attorney's Office #1882 - \$216,056.
 - a) Grant request for FY 2021 was \$238,187. The staff recommendation is \$216,056. The subcommittee's recommendation is \$216,056.
- La Paz Co. Attorney's Office #1878 - \$119,714.
 - a) Grant request for FY 2021 was \$128,846. The staff recommendation is \$128,846. The subcommittee's recommendation is \$128,846.
- Mohave Co. Attorney's Office #1879 - \$109,566.
 - a) Grant request for FY 2021 was \$142,619. The staff recommendation is \$109,566. The subcommittee's recommendation is \$109,566.
- Santa Cruz Co. Attorney's Office #1888 - \$80,795
 - a) Grant request for FY 2021 \$116,114. The staff recommendation is \$80,795. The subcommittee's recommendation is \$80,795.00
- Chairman Reed opened the floor for a motion to approve grants #1881, #1887, #1882, #1878, #1879, #1888 as presented by staff and subcommittee recommendations.
 - a) Chief Soelberg motioned to approve all grants as presented.
 - b) Mr. Robert E. Smith seconded the motion to approve all grants.
 - c) Leslie LeMense, representing Maricopa County Attorney's Office recused herself from the vote regarding grant #1887 for Maricopa County Attorney's Office.
 - d) All votes passed.
 - (1) Grant #1881 (9-0)
 - (2) Grant #1887 (8-0-1)
 - (3) Grant #1878 (9-0)
 - (4) Grant #1879 (9-0)
 - (5) Grant #1888 (9-0)
- FY'20 Law Enforcement Grant Request- AZ DPS (Stolen Vehicle Database Project) (LE Subcommittee Recommendation) #1889 - \$14,000.
- FY' 20 Stolen Vehicle Database Project- AATA/AZ DPS Interagency Service Agreement (ISA) – (LE Subcommittee Recommendation) \$51,000.
 - Executive Director McGuffin presented Grant #1889 and an ISA for Board approval.
 - Chief Soelberg advised the Law Enforcement Subcommittee is in full support of this grant and ISA.
 - Chairman Reed advised that he is in support of this grant and ISA.
 - Chairman Reed called for a motion to approve grant #1889.
 - a) Chief Soelberg motioned to approve Grant #1889.
 - b) Mr. Robert E. Smith seconded the motion.
 - c) Major Jack Johnson recused himself from the vote.
 - d) Vote Passed (8-0-1)
 - Chairman Reed called for a motion to approve ISA in the amount of \$51,000.
 - a) Chief Soelberg motioned to approve ISA with an administrative cost of \$51,000.

- b) Mr. Robert E. Smith seconded the motion.
- c) Major Jack Johnson recused himself from the vote.
- d) Vote Passed (8-0-1)

VII. Public Awareness Subcommittee Report, Discussion, and Possible Action

- Mr. Robert E. Smith - No update.

VIII. Legislative Subcommittee Report, Discussion, and Possible Action

- Chairman Reed advised that previous conversations with lobbyists regarding the integration of AATA into DIFI have come to a halt due to COVID-19. Legislative action that was to be proposed did not happen. The hope is that they will be picked up in the next legislative session.

IX. Vertical Prosecution Subcommittee & Auto Theft Prosecutors Report, Discussion, and Possible Action

- General subcommittee update on vertical prosecution programs, auto theft cases/outcomes
 - Ms. Leslie LeMense with Maricopa County Attorney's Office (MCAO) gave a brief update of the changes within the Maricopa County Attorney's Office. Ms. LeMense introduced Frank Woods who will represent the South East Bureau, Jessica Sornsin who will represent the Phoenix South Mountain Bureau and Misty Fauth, who is now the Assistant Bureau Chief.
 - Mr. Matthew Ashton with the Pima County Attorney's Office gave a brief account of current cases being worked within Pima County.
 - Ms. Yvette Mott with the La Paz County Attorney's Office has nothing to report at this time.
 - Mr. Nick Hartman with the Pinal County Attorney's Office advised he is seeing no decrease in the amount of charges and long-form cases during the COVID pandemic. He advised that he is receiving the same amount of arrests and charges coming across his desk. He also noted that the grand jury was still in session for Pinal County. He did advise that settlement and trials have been halted. Mr. Hartman provided a brief account of several cases in process for the Pinal County Attorney's Office including at least one Vehicle Theft Task Force case.
 - Ms. LeMense gave an account of a case that Mr. Woods had worked for the Maricopa County Attorney's Office involving Detective Patterson with the Vehicle Theft Task Force.

X. Arizona Vehicle Theft Task Force Report, Discussion, and Possible Action

- General Update, Report on Task Force Activities
 - Captain Etnire advised the Board of some personnel changes. He advised 10 agencies are represented on the Vehicle Theft Task Force bringing the total number of people on the task force to 29. Captain Etnire advised that Detective Callister retired for a career with Motorola. Detective Henderson retired and has accepted a position with NICB but will remain on the Vehicle Theft Task Force in his role with NICB. Detective Lochner has returned to the Maricopa Police Department. Detective Nick Davis accepted a position with the Yuma Police Homicide Unit. Detective Ernest Prieto now represents the Yuma Police Department on the task force. Detective John Bagley has returned to the task force. Sergeant Todd Berson has been recalled to Tucson PD to fill a supervisor void at this time for approximately 6 months.
 - Captain Etnire advised the overall activity is down due to loss in personnel, scheduled holidays, training, the labor intensive Niko Barako case, and requests for assistance for protest activities throughout the state since May 29th.
 - Since March 13th the task force has recovered 362 stolen vehicles with an approximate value of 5 million dollars. This is in addition to making 79 arrests, written and served 19 search warrants, recovered 13 weapons, and responded to 543 requests for assistance.
 - From January the task force has recovered 595 stolen vehicles with an approximate value of 8 million dollars. They have made 150 arrests, written and served 52 search warrants, recovered 39 weapons, and responded to 946 requests for assistance.
 - Captain Etnire gave accounts on the Niko Barako case, which involved the FBI and Gila River Reservation. Mr. Barako is a tribal member of the Gila River Reservation.

- Captain Etnire gave accounts on more cases involving the Vehicle Theft Task Force as well as one on a suspect who attempted to steal military vehicles that were secured and locked.
- Major Jack Johnson addressed the Board about the fleet for the task force. Major Johnson has advised vehicles at 120,000 miles start to accrue costs that outweigh the benefit of these vehicles. The task force has 37 vehicles in the fleet. 9 vehicles are in excess of 91,000 miles. 14 vehicles are within 100,000 to 120,000 miles. 5 vehicles are over 120,000 miles. The current projections show that 28 will be over 120,000 miles next year. Major Johnson advised that DPS does not have the money to start replacing these vehicles and if a replacement plan is not in place soon, the approximate cost to replace all of these vehicles at once will be 1.5 million dollars. This is a growing concern for the task force and DPS.
- Captain Etnire advised the last three vehicles purchased for the task force were in FY'17.
- Chief Soelberg asked the legislative committee if the proposal to the legislature that didn't go would have addressed this concern. Chairman Reed confirmed that this concern regarding vehicles was included in that proposal for legislative action. Chief Soelberg would like to continue work to get monies allocated to address this concern. Chairman Reed concurred and advised that perhaps this should be discussed in a future Law Enforcement subcommittee meeting.

XI. Call to the Public

- No reply.

XII. Reports on current events, matters or Board procedure, requests, and items for future agenda:

- Chairman Reed announced that he has put in his notice to retire from State Farm. His last day will be July 31, 2020. This may cause a change in the Board in the near future.

XIII. Adjournment

- Chief Soelberg motioned to adjourn the meeting.
- Mr. Robert E.M. Smith seconded the motion.
- Vote passed unanimously (9-0).
- Meeting adjourned.

Dated this 18 day of September, 2020.

Arizona Automobile Theft Authority

By: Michael Soelberg

Chief Michael Soelberg, AATA Board Vice-Chairman





James McGuffin
Executive Director

ARIZONA AUTOMOBILE THEFT AUTHORITY

M E M O R A N D U M

DATE: June 19, 2020
TO: AATA Board of Directors
FROM: Art Myer, Chief Financial Officer
SUBJECT: AATA FY20 Financial Report Summary for the eleven months ending May 31, 2020, and the Projected (Revised) FY'20 spending plan.

AATA Financial Summary as of May 31, 2020

Schedule 1 - Fund Balance Report

1. Beginning Fund Balance: Line 1: \$4,418,868.
2. Total YTD recorded income, Line 2: \$6,837,502.
3. Total expended, Line 3: \$5,125,205.
4. Legislative Transfers, Line 4: \$0.
5. Adjustments (Prior Year), Line 5: \$83.
6. Encumbrances, Line 10: \$0
7. Ending Fund Balance, per AFIS, Line 12: \$6,131,082.

Schedule 2 - YTD Revenue

1. Insurance revenue received for the first and second assessment periods of the current fiscal year (FY20), Line 1: \$6,743,063.
2. Reimbursable Programs, Line 5: \$0.
3. Investment income YTD, Line 8: \$94,440.
4. Refunds of Unused Grant Funds (Prior Year), Line 9: \$0.
5. Total Income, Line 11: \$6,837,502.
6. Cash Invested with State Treasurer, Line 12: \$6,081,082.
7. Line 13 & 14: Current Yield (1 Month): 1.16%, YTD Yield: 1.99 %

Schedule 2.1 – YTD Revenue Analysis

1. **Insurance assessment revenue received to date for the first assessment period of FY'20, Line 11: \$3,337,184. Projected assessment revenue for the period, \$3,369,410; amount collected approximately \$32,226 (1%) below the projection, and \$63,095 (1.93%) above prior period. Collected amounts: By check-\$1,422,788 (42.6%), By ACH-\$1,914,396 (57.4%).**
2. **Insurance assessment revenue received to date for the second assessment period of FY'20, Line 21: \$3,405,818. Projected assessment revenue for the period, \$3,369,411; amount collected approximately \$36,407 (1%) above the projection, and \$68,634 (2.06%) above prior period. Collected amounts: By check-\$1,246,491 (36.6%), by ACH \$2,159,327 (63.4%).**
3. **YTD assessment revenue, percentage realized, Line 23: 100.00% of the projected revenue for the year. Projected revenue for FY 20: \$6,738,821.**
4. **Compliance: First and second assessment period collections for FY20 are complete.**
5. **No companies outstanding.**
6. **There are no extensions outstanding.**
7. **Current Collection Totals for FY'20: \$6,743,063. Amount collected by check: \$2,669,278 (39.6%), amount collected by ACH: \$4,073,723 (60.4%).**

Schedule 3 – Appropriations (represents a summary of budget and expenditures by category/grant program)

1. **Lump sum appropriation (original) \$651,200. Adjustments: Back of the Bill (BOB): Risk Management: increase \$700, IT Pro Rata: increase \$400, Retirement: increase \$1,100, Health Insurance Trust Fund Reduction: decrease \$3,000, Health Insurance Trust Fund: increase \$3,200, Rent Charges: increase \$800, net increase of \$3,200. Total operating Lump Sum appropriation (including adjustments), Line 12: \$654,400.**
2. **Reimbursable Programs, Line 20: \$50,000. Appropriation by the Legislature giving the AATA the authority to raise and with the approval of the JLBC, expend the additional \$50,000.**
3. **No Legislative (budget) fund sweeps are currently planned for FY20.**
4. **Total Special Line Items, Line 21: \$4,657,700. (Reimbursable Programs: \$50,000 plus Grants: \$4,607,700=\$4,657,700)**
5. **Total current year appropriations (FY20), Line 22: \$5,312,100.**

Schedule 4 - Activity Summary (represents a summary of expenditures/encumbrances by program area)

1. **Total Administrative Expenditures, Line 2: \$316,208.**

2. Total Programs/Public Awareness Expenditures, **Line 3: \$215,604.**
3. Total Lump Sum Expenditures, **Line 5: \$531,812**
4. Total Task Force (Grant) Expenditures, **Line 7: \$3,650,000.**
5. Total Vertical Prosecution (Grant) Expenditures, **Line 8: \$930,942.**
6. Total Law Enforcement (Grant) Expenditures, **Line 9: \$2,812.**
7. Total Professional Training (Grant) Expenditures, **Line 10: \$0.**
8. Total Public Awareness (Grant) Expenditures, **Line 11: \$9,639.**
9. Total Emergency/Discretionary (Grant) Expenditures, **Line 12: \$0.**
10. Total Reimbursable Programs (Grant) Expenditures, **Line 13: \$0.**
11. Total Grant Expenditures, **Line 14: \$4,593,393**
12. Total of all Expenditures, (Expended Column) **Line 15: \$5,125,205.**
13. The statutory spending cap is 10% of revenue for administrative expenses. The administrative expenses percentage projected to be 6.07% and is currently, **Line 16: 4.62%.**
14. **Line 17:** is the ratio of administrative expenses to total expenses. Projected to be 7.76% and currently 6.17% of total agency expenditures.

Schedules 5-9 - Provide detail of AATA expenditures by program area.

Schedule 10 – Non-Appropriated Funds (Sims Metal Management)

1. Beginning Balance, **Line 1: \$1,272.**
2. Miscellaneous Income (refunds), **Line 3: \$160**
3. Travel: In-State, **Line 9: \$0**
4. Aid to Organizations, **Line 11: \$0.**
5. Other Operating Expenses, **Line 12: \$0.**
6. Transfers-Out, **Line 14: \$0**
7. Total Expenditures, **Line 15: \$0.**
8. Encumbrances, **Line 16: \$0.**
9. Ending Fund Balance, **Line 17: \$1,432.**

Schedule 11 – Projected (Revised) FY '20 Spending Plan (Q1-Q4).

Quarter 1: July (Actual)

1. Beginning FY19 Fund Balance, **Line 1: \$4,418,868**
2. Investment Income, **Line 2: \$0**
3. Insurance Collections, **Line 3: \$2,022,480**
4. Total Lump Sum expenditures, **Line 13: \$52,921**

5. Total Grant expenditures, Line 24: \$912,500
6. Ending fund balance, Line 27: \$5,475,927

August (Actual)

1. Beginning Fund Balance, Line 1: \$5,475,927
2. Investment Income, Line 2: \$8,807
3. Insurance Collections, Line 3: \$1,314,704
4. Total Lump Sum expenditures, Line 13: \$29,919
5. Total Grant expenditures, Line 24: \$148,793
6. Ending fund balance, Line 27: \$6,620,643

September (Actual)

1. Beginning Fund Balance, Line 1: \$6,620,643
2. Investment Income, Line 2: \$13,033
3. Insurance Collections, Line 3: \$0
4. Total Lump Sum expenditures, Line 13: \$74,452
5. Total Grant expenditures, Line 24: \$85,176
6. Ending fund balance, Line 27: \$6,474,048

Quarter 2: October (Actual)

1. Beginning Fund Balance, Line 1: \$6,474,048
2. Investment Income, Line 2: \$12,677
3. Insurance Collections, Line 3: \$0
4. Total Lump Sum expenditures, Line 13: \$53,551
5. Total Grant expenditures, Line 24: \$1,150,236
6. Ending fund balance, Line 27: \$5,282,939

November (Actual)

1. Beginning Fund Balance, Line 1: \$5,282,939
2. Investment Income, Line 2: \$9,423
3. Insurance Collections, Line 3: \$0
4. Total Lump Sum expenditures, Line 13: \$46,997
5. Total Grant expenditures, Line 24: \$6,600
6. Ending fund balance, Line 27: \$5,238,765

December (Actual)

1. Beginning Fund Balance, Line 1: \$5,238,765
2. Investment Income, Line 2: \$8,575
3. Insurance Collections, Line 3: \$0
4. Total Lump Sum expenditures, Line 13: \$61,235
5. Total Grant expenditures, Line 24: \$0
6. Ending fund balance, Line 27: \$5,186,106

Quarter 3: January (Actual)

1. Beginning Fund Balance, Line 1: \$5,186,106
2. Investment Income, Line 2: \$8,611
3. Insurance Collections, Line 3: \$2,556,740
4. Total Lump Sum expenditures, Line 13: \$55,780
5. Total Grant expenditures, Line 24: \$1,147,041
6. Ending fund balance, Line 27: \$6,548,635

February (Actual)

1. Beginning Fund Balance, Line 1: \$6,548,635
2. Investment Income, Line 2: \$7,201
3. Insurance Collections, Line 3: \$845,093
4. Total Lump Sum expenditures, Line 13: \$39,103
5. Total Grant expenditures, Line 24: \$0
6. Ending fund balance, Line 27: \$7,361,826

March (Actual)

1. Beginning Fund Balance, Line 1: \$7,361,826
2. Investment Income, Line 2: \$10,440
3. Insurance Collections, Line 3: \$3,985
4. Total Lump Sum expenditures, Line 13: \$37,127
5. Total Grant expenditures, Line 24: \$(2,188)
6. Ending fund balance, Line 26: \$7,341,312

Quarter 4: April (Actual)

1. Beginning Fund Balance, Line 1: \$7,341,312
2. Investment Income, Line 2: \$9,855
3. Insurance Collections, Line 3: \$0
4. Total Lump Sum expenditures, Line 13: \$37,802
5. Total Grant expenditures, Line 24: \$1,145,235
6. Ending fund balance, Line 26: \$6,168,129

May (Actual)

1. Beginning Fund Balance, Line 1: \$6,168,129
2. Investment Income, Line 2: \$5,818
3. Insurance Collections, Line 3: \$62
4. Total Lump Sum expenditures, Line 13: \$42,926
5. Total Grant expenditures, Line 24: \$0
6. Ending fund balance, Line 26: \$6,131,082

June (Projected)

1. Beginning Fund Balance, Line 1: \$6,131,082
2. Investment Income, Line 2: \$0
3. Insurance Collections, Line 3: \$0
4. Total Lump Sum expenditures, Line 13: \$55,308
5. Total Grant expenditures, Line 24: \$14,306

6. Ending fund balance, Line 26: \$6,061,468

FY20 Budget Highlights:

1. Lump Sum: \$651,200 (Original)
2. Lump Sum: (BOB Adjustment): Risk Management; increase \$700, IT Pro Rata: increase \$400, Retirement: increase \$1,100, Health Insurance Trust Fund Reduction: decrease \$3,000, Health Insurance Trust Fund: increase \$3,200, Rent Charges: increase \$800, net increase \$3,200
3. Lump Sum (Adjusted): \$654,400

Special Line Items (Task Force & Local Grants: \$4,607,700)

4. Arizona Vehicle Theft Task Force: \$3,650,000
5. Local Grants: \$957,700*

Reimbursable Programs

6. Reimbursable Programs: \$50,000

Total Special Line Items: \$4,657,700

Total FY20 Appropriation: \$5,312,100

***Local Grant Program Breakdown:**

Task Force: \$3,650,000
Vertical Prosecution: \$930,942
Public Awareness: \$23,758
Law Enforcement Grants: \$1,000
Professional Training: \$1,000
Emergency/Discretionary: \$1,000

ARIZONA AUTOMOBILE THEFT AUTHORITY



FY 2020

FINANCIAL REPORT

(RECONCILED TO AFIS)

FOR THE ELEVEN MONTHS ENDED MAY 31, 2020



ARIZONA AUTO THEFT AUTHORITY FUND
 FINANCIAL REPORT
 FOR THE FISCAL YEAR ENDED MAY 31, 2020

Schedule 1: FUND BALANCE REPORT

LINE #	<u>FY 2018</u>	<u>FY 2019</u>	<u>PROJECTED FY2020</u>	<u>YTD FY2020</u>
1 BEGINNING FUND BALANCE	\$ 1,765,637	\$ 2,944,107	\$ 4,418,868	\$ 4,418,868
2 INCOME	\$ 6,378,118	\$ 6,654,827	\$ 6,788,821	\$ 6,837,502
3 EXPENDITURES	\$ (5,199,649)	\$ (5,180,069)	\$ (5,312,100)	\$ (5,125,205)
4 LEGISLATIVE TRANSFERS	\$ -	\$ -	\$ -	
5 ADJUSTMENTS (PRIOR YEAR)	\$ -	\$ -	\$ -	\$ (83)
6 ENDING FUND BALANCE	<u>\$ 2,944,105</u>	<u>\$ 4,418,865</u>	<u>\$ 5,895,589</u>	<u>\$ 6,131,082</u>
7 NET INCREASE/DECREASE	<u>\$ 1,178,469</u>	<u>\$ 1,474,758</u>	<u>\$ 1,476,721</u>	<u>\$ 1,712,214</u>
RECONCILIATION OF FUND BALANCE				
8 ENDING FUND BALANCE (LINE7)	\$ 2,944,105	\$ 4,418,864		\$ 6,131,082
9 PRIOR YEAR SECURITY PROJECT REFUND	\$ -	\$ -		\$ -
10 ENCUMBRANCES	\$ -	\$ -		\$ -
11	\$ -	\$ -		\$ -
12 FUND BALANCE PER AFIS	<u>\$ 2,944,107</u>	<u>\$ 4,418,868</u>		<u>\$ 6,131,082</u>

ARIZONA AUTO THEFT AUTHORITY FUND
FINANCIAL REPORT
FOR THE FISCAL YEAR ENDED MAY 31, 2020

SCHEDULE 2: YTD REVENUE

LINE #	FY 2018	FY 2019	PROJECTED FY 2020	YTD REVENUE FY 2020	UNREALIZED REVENUE FY 2020	PERCENT* REALIZED FY2020
1	\$ 6,327,665	\$ 6,542,544	\$ 6,738,821	\$ 6,743,063	\$ 4,242	100.06%
2	\$ -	\$ -	\$ -	\$ -	\$ -	
3	\$ -	\$ -	\$ -	\$ -	\$ -	
4	\$ -	\$ -	\$ -	\$ -	\$ -	
5	\$ -	\$ -	\$ -	\$ -	\$ -	
6	\$ -	\$ -	\$ -	\$ -	\$ -	
7	\$ -	\$ -	\$ -	\$ -	\$ -	
8	\$ 48,548	\$ 112,283	\$ 50,000	\$ 94,440	\$ 44,440	188.88%
9	\$ 1,905	\$ -	\$ -	\$ -	\$ -	
10	\$ -	\$ -	\$ -	\$ -	\$ -	
11	<u>\$ 6,378,118</u>	<u>\$ 6,654,827</u>	<u>\$ 6,788,821</u>	<u>\$ 6,837,502</u>	<u>\$ 48,681</u>	<u>100.72%</u>

12 INVESTMENT WITH STATE TREASURER: MAY 31, 2020 \$ 6,081,082

13 CURRENT YIELD (1 Month) 1.16%

14 YTD YIELD 1.99%

ARIZONA AUTO THEFT AUTHORITY FUND
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SCHEDULE 2.1: YTD REVENUE ANALYSIS

1	PROJECTED ASSESSMENTS FOR CURRENT YEAR				\$ 6,738,821
2	PROJECTED COLLECTION DUE JULY 31, 2019				\$ 3,369,410
3	COLLECTION RECEIVED IN JULY	60.60%	\$	2,022,480	
4	COLLECTION RECEIVED IN AUGUST	39.40%	\$	1,314,704	
5	COLLECTION RECEIVED IN SEPTEMBER	0.00%	\$	-	
6	COLLECTION RECEIVED IN OCTOBER	0.00%	\$	-	
7	COLLECTION RECEIVED IN NOVEMBER	0.00%	\$	-	
8	COLLECTION RECEIVED IN DECEMBER	0.00%	\$	-	
9	ADJUSTMENTS	0.00%	\$	-	
10	TOTAL - CURRENT PERIOD			<u>\$ 3,337,184</u>	
11	TOTAL - FIRST COLLECTION CYCLE			<u>\$ 3,337,184</u>	49.52%
12	PROJECTED COLLECTION DUE JANUARY 31, 2020			\$ 3,369,411	50.00%
13	COLLECTION RECEIVED IN JANUARY	75.07%	\$	2,556,740	
14	COLLECTION RECEIVED IN FEBRUARY	24.81%	\$	845,093	
15	COLLECTION RECEIVED IN MARCH	0.12%	\$	3,985	
16	COLLECTION RECEIVED IN APRIL	0.00%	\$	-	
17	COLLECTION RECEIVED IN MAY	0.00%	\$	62	
18	COLLECTION RECEIVED IN JUNE	0.00%	\$	-	
19	ADJUSTMENTS	0.00%	\$	-	
20	TOTAL CURRENT PERIOD			<u>\$ 3,405,879</u>	50.54%
21	TOTAL - SECOND COLLECTION CYCLE			<u>\$ 3,405,879</u>	
22	BALANCE REMAINING OF REVENUE PROJECTION			\$ 4,242	0.06%
23	YTD TOTAL INSURANCE ASSESSMENT REVENUE			<u>\$ 6,743,063</u>	100.06%

*PERCENT REALIZED (SCHEDULE 2 & 2.1) BASED UPON CURRENT COLLECTIONS (REVENUE) vs TOTAL PROJECTION

ARIZONA AUTO THEFT AUTHORITY FUND
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FOR THE FISCAL YEAR ENDED MAY 31, 2020

SCHEDULE 3: BY APPROPRIATION

	<u>FY2018</u>	<u>FY2019</u>	<u>ALLOCATED FY 2020</u>	<u>ENCUMBERED FY 2020</u>	<u>EXPENDED FY 2020</u>	<u>AVAILABLE FY 2020</u>
1 LUMP SUM						
2 PERSONAL SERVICES	\$ 317,907	\$ 326,099	\$ 333,718	\$ -	\$ 273,757	\$ 59,961
3 ERE	\$ 119,700	\$ 117,959	\$ 126,367	\$ -	\$ 99,915	\$ 26,452
4 OUTSIDE SERVICES	\$ 12,229	\$ 22,498	\$ 16,050	\$ -	\$ 9,900	\$ 6,150
5 TRAVEL IN-STATE	\$ 6,163	\$ 6,486	\$ 6,250	\$ -	\$ 2,632	\$ 3,618
6 TRAVEL OUT-STATE	\$ 3,492	\$ 1,768	\$ 3,750	\$ -	\$ 2,630	\$ 1,120
7 AID TO ORGANIZATIONS	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
8 OTHER OPER. EXPENSES	\$ 87,602	\$ 98,798	\$ 117,515	\$ -	\$ 107,324	\$ 10,191
9 EQUIPMENT	\$ 35,783	\$ 13,482	\$ 25,000	\$ -	\$ -	\$ 25,000
10 CAPITAL OUTLAY (OFFICE SECURITY)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
11 TRANSFERS-OUT	\$ 20,200	\$ 300	\$ 25,750	\$ -	\$ 35,653	\$ (9,903)
12 OPERATING TOTAL	<u>\$ 603,076</u>	<u>\$ 587,390</u>	<u>\$ 654,400</u>	<u>\$ -</u>	<u>\$ 531,812</u>	<u>\$ 122,588</u>
13 GRANT PROGRAMS						
14 TASK FORCE	\$ 3,650,000	\$ 3,650,000	\$ 3,650,000	\$ -	\$ 3,650,000	\$ -
15 VERTICAL PROSECUTION	\$ 905,164	\$ 929,162	\$ 930,942	\$ -	\$ 930,942	\$ -
16 LAW ENFORCEMENT	\$ 37,842	\$ -	\$ 1,000	\$ -	\$ 2,812	\$ (1,812)
17 PROFESSIONAL TRAINING	\$ -	\$ 5,000	\$ 1,000	\$ -	\$ -	\$ 1,000
18 PUBLIC AWARENESS	\$ 3,567	\$ 8,517	\$ 23,758	\$ -	\$ 9,639	\$ 14,119
19 EMERGENCY/DISCRETIONARY	\$ -	\$ -	\$ 1,000	\$ -	\$ -	\$ 1,000
20 REIMBURSABLE PROGRAMS	\$ -	\$ -	\$ 50,000	\$ -	\$ -	\$ 50,000
21 TOTAL SPECIAL LINE ITEMS	<u>\$ 4,596,573</u>	<u>\$ 4,592,678</u>	<u>\$ 4,657,700</u>	<u>\$ -</u>	<u>\$ 4,593,393</u>	<u>\$ 64,307</u>
22 TOTAL EXPENDITURES	<u>\$ 5,199,649</u>	<u>\$ 5,180,068</u>	<u>\$ 5,312,100</u>	<u>\$ -</u>	<u>\$ 5,125,205</u>	<u>\$ 186,895</u>

ARIZONA AUTO THEFT AUTHORITY FUND
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SCHEDULE 4: ACTIVITY SUMMARY

LINE #	FY2018	FY2019	ALLOCATED FY 2020	ENCUMBERED FY 2020	EXPENDED FY 2020	AVAILABLE FY 2020
1						
2	\$ 361,151	\$ 369,235	\$ 412,024	\$ -	\$ 316,208	\$ 95,816
3	\$ 241,925	\$ 218,155	\$ 241,176	\$ -	\$ 215,604	\$ 25,572
4	\$ -	\$ -	\$ 1,200	\$ -	\$ -	\$ 1,200
5	<u>\$ 603,076</u>	<u>\$ 587,390</u>	<u>\$ 654,400</u>	<u>\$ -</u>	<u>\$ 531,812</u>	<u>\$ 122,588</u>
6						
7	\$ 3,650,000	\$ 3,650,000	\$ 3,650,000	\$ -	\$ 3,650,000	\$ -
8	\$ 905,164	\$ 929,162	\$ 930,942	\$ -	\$ 930,942	\$ -
9	\$ 37,842	\$ -	\$ 1,000	\$ -	\$ 2,812	\$ (1,812)
10	\$ -	\$ 5,000	\$ 1,000	\$ -	\$ -	\$ 1,000
11	\$ 3,567	\$ 8,517	\$ 23,758	\$ -	\$ 9,639	\$ 14,119
12	\$ -	\$ -	\$ 1,000	\$ -	\$ -	\$ 1,000
13	\$ -	\$ -	\$ 50,000	\$ -	\$ -	\$ 50,000
14	<u>\$ 4,596,573</u>	<u>\$ 4,592,679</u>	<u>\$ 4,657,700</u>	<u>\$ -</u>	<u>\$ 4,593,393</u>	<u>\$ 64,307</u>
15	<u>\$ 5,199,649</u>	<u>\$ 5,180,069</u>	<u>\$ 5,312,100</u>	<u>\$ -</u>	<u>\$ 5,125,205</u>	<u>\$ 186,895</u>

	Projected	Actual 5/31/2020
16 STATUTORY SPENDING CAP RATIO OF ADMIN COST TO ACTUAL INCOME (10% STATUTORY CAP)	5.66%	4.62%
17 ADMINISTRATIVE EXPENSES AS A % OF TOTAL EXPENSES (LEG. MEASURE)	7.13%	6.17%

Prepared: 6/2/20

ARIZONA AUTO THEFT AUTHORITY FUND
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SCHEDULE 5: ADMINISTRATIVE EXPENSE SUMMARY

LINE#	<u>FY2018</u>	<u>FY2019</u>	<u>ALLOCATED FY 2020</u>	<u>ENCUMBERED FY 2020</u>	<u>EXPENDED FY 2020</u>	<u>AVAILABLE FY 2020</u>
1 PERSONAL SERVICES	\$ 180,615	\$ 185,257	\$ 187,607	\$ -	\$ 146,949	\$ 40,658
2 ERE	\$ 69,075	\$ 68,126	\$ 74,129	\$ -	\$ 53,279	\$ 20,850
3 OUTSIDE PROFESSIONAL	\$ 10,620	\$ 22,000	\$ 10,350	\$ -	\$ 9,900	\$ 450
4 TRAVEL IN-STATE	\$ 1,845	\$ 1,895	\$ 2,500	\$ -	\$ -	\$ 2,500
5 TRAVEL OUT-STATE	\$ 2,720	\$ 1,768	\$ 2,500	\$ -	\$ 2,630	\$ (130)
6 OTHER OPER. EXPENSES	\$ 41,810	\$ 76,407	\$ 92,188	\$ -	\$ 67,797	\$ 24,391
7 EQUIPMENT (CAPITAL & NON CAPITAL)	\$ 34,266	\$ 13,482	\$ 17,000	\$ -	\$ -	\$ 17,000
8 CAPITAL OUTLAY (OFFICE SECURITY)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
9 TRANSFERS-OUT	\$ 20,200	\$ 300	\$ 25,750	\$ -	\$ 35,653	\$ (9,903)
10 TOTAL ADMIN EXPENDITURES	<u>\$ 361,151</u>	<u>\$ 369,235</u>	<u>\$ 412,024</u>	<u>\$ -</u>	<u>\$ 316,208</u>	<u>\$ 95,816</u>

ARIZONA AUTO THEFT AUTHORITY FUND
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SCHEDULE 6: GRANTS

LINE #	FY2018	FY2019	ALLOCATED FY 2020	ENCUMBERED FY 2020	EXPENDED FY 2020	AVAILABLE FY 2020
1 TASK FORCE GRANT						
2 TASK FORCE	\$ 3,650,000	\$ 3,650,000	\$ 3,650,000	\$ -	\$ 3,650,000	\$ -
3 TASK FORCE EXPENDITURES	<u>\$ 3,650,000</u>	<u>\$ 3,650,000</u>	<u>\$ 3,650,000</u>	<u>\$ -</u>	<u>\$ 3,650,000</u>	<u>\$ -</u>
4 LOCAL GRANTS						
5 VERTICAL PROSECUTION	\$ 905,164	\$ 929,162	\$ 930,942	\$ -	\$ 930,942	\$ -
6 LAW ENFORCEMENT	\$ 37,842	\$ -	\$ 1,000	\$ -	\$ 2,812	\$ (1,812)
7 PROFESSIONAL TRAINING	\$ -	\$ 5,000	\$ 1,000	\$ -	\$ -	\$ 1,000
8 PUBLIC AWARENESS	\$ 3,567	\$ 8,517	\$ 23,758	\$ -	\$ 9,639	\$ 14,119
9 EMERGENCY/DISCRETIONARY	\$ -	\$ -	\$ 1,000	\$ -	\$ -	\$ 1,000
10 LOCAL GRANT EXPENDITURES	<u>\$ 946,573</u>	<u>\$ 942,679</u>	<u>\$ 957,700</u>	<u>\$ -</u>	<u>\$ 943,393</u>	<u>\$ 14,307</u>
11 REIMBURSABLE PROGRAMS						
12 REIMBURSABLE PROGRAMS	\$ -	\$ -	\$ 50,000	\$ -	\$ -	\$ 50,000
13 REIMBURSABLE PROG. EXPENDITURES	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 50,000</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 50,000</u>
14 TOTAL GRANT EXPENDITURES (ALL PROGRAMS)	<u>\$ 4,596,573</u>	<u>\$ 4,592,679</u>	<u>\$ 4,657,700</u>	<u>\$ -</u>	<u>\$ 4,593,393</u>	<u>\$ 64,307</u>

ARIZONA AUTO THEFT AUTHORITY FUND
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SCHEDULE 7: PROGRAMS/PUBLIC AWARENESS

LINE #	<u>FY2018</u>	<u>FY2019</u>	<u>ALLOCATED FY 2020</u>	<u>ENCUMBERED FY 2020</u>	<u>EXPENDED FY 2020</u>	<u>AVAILABLE FY 2020</u>
1 PERSONAL SERVICES	\$ 137,292	\$ 140,842	\$ 146,111	\$ -	\$ 126,807	\$ 19,304
2 ERE	\$ 50,625	\$ 49,833	\$ 52,238	\$ -	\$ 46,637	\$ 5,601
3 OUTSIDE SERVICES	\$ 1,609	\$ 498	\$ 5,700	\$ -	\$ -	\$ 5,700
4 TRAVEL IN-STATE	\$ 4,318	\$ 4,591	\$ 3,750	\$ -	\$ 2,632	\$ 1,118
5 TRAVEL OUT-STATE	\$ 772	\$ -	\$ 1,250	\$ -	\$ -	\$ 1,250
6 AID TO ORGANIZATIONS	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
7 OTHER OPER. EXPENSES	\$ 45,792	\$ 22,391	\$ 24,127	\$ -	\$ 39,527	\$ (15,400)
8 EQUIPMENT (CAPITAL & NON CAPITAL)	\$ 1,517	\$ -	\$ 8,000	\$ -	\$ -	\$ 8,000
9 TRANSFERS-OUT	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10 TOTAL PUBLIC AWARENESS	<u>\$ 241,925</u>	<u>\$ 218,155</u>	<u>\$ 241,176</u>	<u>\$ -</u>	<u>\$ 215,604</u>	<u>\$ 25,572</u>

ARIZONA AUTO THEFT AUTHORITY FUND
 FINANCIAL REPORT
 FOR THE FISCAL YEAR ENDED MAY 31, 2020

SCHEDULE 8: SPECIAL PROJECTS

LINE#	<u>FY2018</u>	<u>FY2019</u>	<u>ALLOCATED FY 2020</u>	<u>ENCUMBERED FY 2020</u>	<u>EXPENDED FY 2020</u>	<u>AVAILABLE FY 2020</u>
1 PERSONAL SERVICES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2 ERE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
3 OUTSIDE PROFESSIONAL	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
4 TRAVEL IN-STATE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
5 TRAVEL OUT-STATE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
6 OTHER OPER. EXPENSES	\$ -	\$ -	\$ 1,200	\$ -	\$ -	\$ 1,200
7 EQUIPMENT (CAPITAL & NON CAPITAL)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
8 TRANSFERS-OUT	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
9 TOTAL SPECIAL PROJECTS	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 1,200</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 1,200</u>

ARIZONA AUTO THEFT AUTHORITY FUND
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 FOR THE FISCAL YEAR ENDED MAY 31, 2020

SCHEDULE 9: REIMBURSABLE PROGRAMS

LINE #	<u>FY2018</u>	<u>FY2019</u>	<u>ALLOCATED FY 2020</u>	<u>ENCUMBERED FY 2020</u>	<u>EXPENDED FY 2020</u>	<u>AVAILABLE FY 2020</u>
1 PERSONAL SERVICES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2 ERE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
3 OUTSIDE SERVICES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
4 TRAVEL IN-STATE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
5 TRAVEL OUT-STATE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
6 AID TO ORGANIZATIONS	\$ -	\$ -	\$ 25,000	\$ -	\$ -	\$ 25,000
7 OTHER OPER. EXPENSES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
8 CAPITAL	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
9 TRANSFERS-OUT	\$ -	\$ -	\$ 25,000	\$ -	\$ -	\$ 25,000
10 TOTAL REIMBURSABLE PROGRAM EXPENDITURES	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 50,000</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 50,000</u>

ARIZONA AUTO THEFT AUTHORITY FUND
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 FOR THE FISCAL YEAR ENDED MAY 31, 2020
SCHEDULE 10: NON-APPROPRIATED FUNDS (SIMS METAL MANAGEMENT)

NON-APPROPRIATED FUNDS	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>
1 BEGINNING BALANCE	\$ 29,664	\$ 17,283	\$ 1,272
REVENUE			
2 COURT AWARD(S)	\$ -	\$ -	\$ -
3 MISCELLANEOUS INCOME (REFUNDS)	\$ -	\$ -	\$ 160
4 TOTAL NON-APPROPRIATED REVENUE	\$ -	\$ -	\$ 160
5 TOTAL NON-APPROPRIATED FUNDS	\$ 29,664	\$ 17,283	\$ 1,432
EXPENDITURES			
6 PERSONAL SERVICES	\$ -	\$ -	\$ -
7 ERE	\$ -	\$ -	\$ -
8 OUTSIDE SERVICES	\$ -	\$ -	\$ -
9 TRAVEL IN-STATE	\$ 315	\$ -	\$ -
10 TRAVEL OUT-STATE	\$ -	\$ -	\$ -
11 AID TO ORGANIZATIONS	\$ 9,394	\$ 5,637	\$ -
12 OTHER OPER. EXPENSES	\$ 1,100	\$ -	\$ -
13 EQUIPMENT	\$ -	\$ -	\$ -
14 TRANSFERS-OUT	\$ 1,572	\$ 10,374	\$ -
15 TOTAL EXPENDITURES	\$ 12,381	\$ 16,011	\$ -
16 ENCUMBRANCES	\$ -	\$ -	\$ -
17 ENDING FUND BALANCE PER AFIS	\$ 17,283	\$ 1,272	\$ 1,432

Prepared: 6/2/20

**ARIZONA AUTO THEFT AUTHORITY
SPENDING PLAN
FY 2021 Q1-Q4
(Actual/Projected)**

Schedule 11A
Revised: FY'21 Actual/Projected Spending Plan

line #	Description	FY'22				Original Proj. 7/1/2020	Original Appropriation (Adj) Working #s	
		Projected July 2020	Projected August 2020	Projected September 2020	Projected October 2020			
1	Current Fund Balance AFIS	\$ 6,061,468	\$ 4,858,941	\$ 8,149,640	\$ 8,117,477	\$ 6,915,001	\$ 6,864,451	
2	Investment w/State Treas.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 25,000	
3	Insurance Collections	\$ -	\$ 3,371,532	\$ -	\$ -	\$ -	\$ -	
4	Additions to Fund Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
5	Total Fund Balance Available	\$ 6,061,468	\$ 8,230,473	\$ 8,149,640	\$ 8,117,477	\$ 6,915,001	\$ 6,889,451	
6	Projects (Reduce Cash)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
7	Transfer to Special Project	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
8	Total Available Fund Balance	\$ 6,061,468	\$ 8,230,473	\$ 8,149,640	\$ 8,117,477	\$ 6,915,001	\$ 6,889,451	
9	Expenditures by Program (Lump Sum):							
10	Administrative: 206ATAAX	(3 paydats) \$ 30,027	(rent) \$ 66,665	\$ 18,595	\$ 31,646	\$ 31,646	(3 paydats) \$ 37,699	\$ 412,824
11	Programs/Public Awareness: 206ATPAX	\$ 20,577	\$ 14,168	\$ 13,568	\$ 18,904	\$ 18,904	\$ 24,801	\$ 241,576
12	Special Projects:	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
13	Total ATA Operating Expenses (LS):	\$ 50,604	\$ 80,833	\$ 32,163	\$ 50,550	\$ 50,550	\$ 62,500	\$ 654,400
14	Fund Balance After Any Projects & ATA Monthly Operating Expenses	\$ 6,010,864	\$ 8,149,640	\$ 8,117,477	\$ 8,066,927	\$ 6,864,451	\$ 6,826,951	\$ 6,771,543
15	Reimbursable Programs: 206ATRPX	\$ -	\$ -	\$ 12,500	\$ -	\$ -	\$ 12,500	\$ 50,000
16	Special Line Items (Grants)							
17	Task Force: 206ATVTX	\$ 912,500	\$ -	\$ -	\$ 912,500	\$ -	\$ -	\$ 3,650,000
18	Vertical Prosecution Grants: 206ATGVPX	\$ 232,735	\$ -	\$ -	\$ 232,736	\$ -	\$ -	\$ 930,942
19	Public Awareness Grants: 206ATGPAX	\$ 2,230	\$ -	\$ -	\$ 2,230	\$ -	\$ -	\$ 8,920
20	Law Enforcement Grants: 206ATGLEX	\$ 2,229	\$ -	\$ -	\$ 2,230	\$ -	\$ -	\$ 8,919
21	Professional Development Grants: 206ATGPDX	\$ 2,229	\$ -	\$ -	\$ 2,230	\$ -	\$ -	\$ 8,918
22		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
23		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
24	Total Special Line Items	\$ 1,151,923	\$ -	\$ -	\$ 1,151,926	\$ -	\$ -	\$ 4,607,700
25	Local Grants	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 957,700
26	Encumbrances/Adjustments (Prior Year)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
27	Fund Balance Per AFIS	\$ 4,858,941	\$ 8,149,640	\$ 8,117,477	\$ 6,915,001	\$ 6,864,451	\$ 6,826,951	\$ 5,262,100
28	Admin Exp. As % of Revenue (10% Cap) Projected for FY '21: 6.07%							\$ 5,312,100
29	Legend (Budget Colors)							
30	Green-Actual, Blue-Projected							
	Original Proj. Expenditures	\$ 7,592,433	\$ 7,631,717	\$ 7,631,717	\$ 7,592,433	\$ 7,592,433	\$ 7,592,433	\$ 7,592,433
	Projected Expenditures	\$ 5,262,100	\$ 5,312,100	\$ 5,312,100	\$ 5,262,100	\$ 5,262,100	\$ 5,262,100	\$ 5,262,100

Prepared: 5/13/20
Updated: 6/8/20